

Incentive System for Research and Technological Development (SI I&DT)

STAR'U_PME
Start smart.

 PORTUGAL
2020



Incentive System for Research and Technological Development (SI I&DT)

Intervention areas

This call takes on the specific objective of increasing business investment in R&I to promote the increase of knowledge-intensive economic activities and innovation-based value creation.

Geographical area

Mainland Portugal.

Whenever there are, in the same project, investments located in the Lisbon and/or Algarve regions and investments located in less developed regions, each component shall be financed according to the managing authority of the respective regional operational programme.

Financing Rate

The base rate of the non-refundable incentive to be granted is 25%, which may be increased by the following surcharges:

- a) "Industrial research" surcharge: 25% to be attributed to R&D activities classified as such;
- b) "Type of enterprise" surcharge: 10% to be granted to medium-sized enterprises or 20% to micro and small enterprises
- c) 15% bonus when at least one of the following situations occurs
 - c.1) "Cooperation between enterprises" Surcharge, to be attributed when the project cumulatively verifies the following conditions:
 - i) Involve effective cooperation between companies autonomous from each other;
 - ii) No enterprise shall bear more than 70% of the eligible expenses of the project
 - iii) It involves cooperation with at least one SME or involves R&D activities in at least two Member States;

Minimum and maximum investment amounts

n/a

Eligible entities

- Enterprises of any nature and under any legal form;
- Non-Enterprise Entities of the R&I System (ENESII), in the case of co-promoted projects and as co-promoters.

Eligible Costs

1- In the case of R&D enterprise projects, demonstrator projects and mobilizing programmes, the following expenses are considered eligible.

a) Direct costs:

- i) Expenses for technical staff of the beneficiary dedicated to R&D activities, including grant holders hired by the beneficiary with a scholarship fully supported by the latter;
- ii) Acquisition of patents from external sources or licensed by them, at market prices, and which translate into their effective endogenization by the beneficiary;
- iii) Raw materials, consumables and components necessary for the construction of pilot or experimental and/or demonstration facilities and for the construction of prototypes;
- iv) Acquisition of services from third parties, including technical and scientific assistance and consultancy, as well as costs arising from the use of electronic platforms for open innovation and 'crowdsourcing
- v) Acquisition of scientific and technical instruments and equipment, to the extent used in the project and during its implementation;

Incentive System for Research and Technological Development (SI I&DT)

vi) Acquisition of specific software for the project, to the extent used in the project, and during its implementation;

vii) Expenses related to the promotion and dissemination of the results of product or process innovation projects with applications in the field of science and technology

viii) Trips and stays abroad directly imputable to the project and demonstrably necessary for its implementation, excluding trips for contacts and other purposes of commercial nature;

ix) Expenses related to the certification process of the certified research, development and innovation management system according to NP 4457:2007, namely consultancy fees, training and preparation of the process with the certifying entity;

x) Costs related to the intervention of a technical-scientific auditor;

xi) Expenses related to the intervention of chartered accountants or certified public accountants, in the validation of payment claim expenses up to a limit of 5.000 euros per project;

xii) Contributions in kind, under conditions to be defined;

b) Indirect costs.

2- In the case of R&D clusters, the following expenses are eligible.

a) Direct costs:

i) Expenses on technical staff dedicated to the promotion of the R&D Nucleus, including grant holders hired by the beneficiary with a scholarship fully supported by the latter; in the case of individual projects, only the hiring of a maximum of three new technical staff who must be exclusively dedicated to the project, with a qualification level equal to or higher than VI (degree), for a period of up to twenty-four months, is eligible under this heading;

ii) Training of human resources with the following eligible expenses, according to the nature and limits foreseen in a specific legislation.

(1) Direct costs with internal and external trainers;

(2) Other costs, calculated on the basis of a fixed rate up to a maximum of 40% on the value of the direct costs with trainers, to be determined in accordance with the methodology defined in technical guidelines;

Incentive System for Research and Technological Development (SI I&DT)

- iv) Acquisition of scientific and technical instruments and equipment, to the extent that they are used in the project, and during its implementation;
 - v) Acquisition of specific software for the project, to the extent used in the project, and during its implementation;
 - vi) Adaptation of buildings and facilities to the extent used in the project, and during project implementation;
 - vii) Expenses related to the certification process of the certified research, development and innovation management system, according to NP 4457:2007, namely consultancy fees, training and preparation of the process with the certifying entity;
 - viii) Expenses related to the intervention of Chartered Accountants or Statutory Auditors;
 - ix) Expenses related to the intervention of a technical-scientific auditor;
 - x) Contributions in kind, under conditions to be defined;
- b) Indirect costs.

3- For demonstration projects, besides the expenses foreseen in no. 1 above, direct expenses with:

- a) Adaptation of buildings and facilities insofar as they are used for the project, and during the execution of the project;
- b) Transport, insurance, assembly and dismantling of equipment and facilities specific to the project
- c) Expenses inherent to the actual application in the user sector, up to a maximum limit of 15% of the project's eligible expenses;
- d) Computer models of the prototypes with simulation functions, when appropriate for demonstrating results.

4- Whenever the instruments, scientific and technical equipment or software acquired for the project foreseen in the previous numbers may be used for productive or commercial purposes after the end of the project, the value of the depreciations corresponding to the period of their use in the project is considered as eligible expenses.

5- The acquisitions foreseen in sub-paragraphs ii) and iv) of paragraph a) of no. 1 must be made under market conditions and to third parties unrelated to the acquirer.

6 - The eligible costs presented in the payment requests

Incentive System for Research and Technological Development (SI I&DT)

6 - The eligible costs presented in the payment requests from the beneficiary are based on real costs and must be justified through paid invoices or other accounting documents of equivalent probative value, except when the simplified costs modality is foreseen.

7 - Where there is the possibility of imputing indirect costs, they shall be calculated on the basis of simplified costs, based on the application of the flat rate of 25% of direct eligible costs, excluding subcontracting and resources made available by third parties, in accordance with the provisions of Article 20 of Delegated Regulation (EU) No 480/2014, of 3 March.

8- For the purpose of determining staff costs related to the implementation of the project, the following simplified cost methods may in addition to the actual cost allocation be applied:

(a) Simplified cost methodology based on the application of an hourly rate, calculated by dividing the most recent documented gross annual costs with work by 1,720 hours;

b) Standard cost methodology, in the case of expenses with Research Grants, based on the reference values in Annex I of the Research Grant Regulations of the Foundation for Science and Technology for the different categories of grant holders.

9- The number of hours of the beneficiary's technical staff approved in the decision establishes the maximum eligible threshold for the project, which cannot be changed during implementation.

10- In the case of industrial property protection projects, costs related to obtaining and validating patent applications, utility models, designs or models, including fees, charges and other related expenses, shall be considered eligible.

11- In the case of R&D internationalization projects, the following are considered eligible:

a) Acquisition of consultancy services with the preparation of an application proposal to the R&I Programmes financed by the European Union, namely to Horizon 2020;

b) Trips and stays abroad directly imputable to the project and demonstrably necessary for its implementation.

12- In the case of vane R&D projects, consultancy services in research and technological development activities are considered eligible, as well as technology transfer services provided if they cumulatively meet the following conditions:

Incentive System for Research and Technological Development (SI I&DT)

a) They are exclusively attributable to the establishment of the beneficiary where the project is developed;

12- In the case of vale R&D projects, consultancy services in research and technological development activities are considered eligible, as well as technology transfer services provided if they cumulatively meet the following conditions:

a) They are exclusively attributable to the establishment of the beneficiary where the project is developed;

b) They result from acquisitions under market conditions from third parties unrelated to the acquirer;

c) They result from acquisitions from entities qualified to provide the service in question.

Target Audience

Projects included in all economic activities are eligible, with special focus on those aimed at the production of tradable and internationalizable goods and services or that contribute to their value chain.

STARTE_PME

Start smart.



+351 808 500 130



+351 211 451 532



+351 221 451 003



info@start-pme.pt



www.start-pme.pt

LISBOA Av. D. Joao II, Lote 1.07.2.1, Piso 0, 1990-096 Lisboa

PORTO Edifício Brasília, Praça Mouzinho da Silveira, N° 10, 4100-359 Porto

BRAGA Avenida da Liberdade, N° 615, 1° andar, 4710-251 Braga

PORTALEGRE Rua 1° de Maio, N° 81, Loja E, Piso 1, 7300-205 Portalegre

LOULÉ Buganvília Plaza, 1, 8135-106 Loulé

AÇORES Avenida D. João III, N°33, fração CH/GI, 9500-789 Ponta Delgada

RIO DE JANEIRO Praça XV de Novembro, N° 20, 20010-010 Rio de Janeiro

SÃO PAULO Avenida Paulista, N° 854, 10° andar, 01403-000 São Paulo

Start PME is a brand by
Equações Exhaustivas Lda.

NIF 517467836
Rua 1° de Maio, N.º81, Loja E, 1
7300-205 Portalegre